

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Cain et al.

Atty Docket: 2204/A48

Serial No: 09/660,688

Date: January 3, 2001

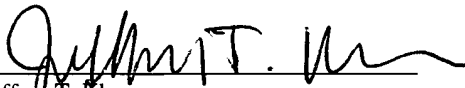
Filed: September 13, 2000

Invention: SYSTEM, DEVICE, AND METHOD FOR RECEIVER ACCESS CONTROL IN
A MULTICAST COMMUNICATION SYSTEM



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on January 3, 2001 with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231.


Jeffrey T. Klayman

Application Processing Division
Customer Correction Branch
Commissioner for Patents
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

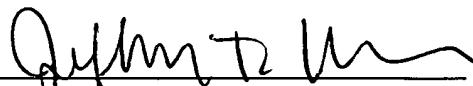
Dear Sir:

Applicant notes a spelling error in the title of the application, as shown on the attached filing receipt. The correction should be as follows:

"commuincation" should be -communication--

A copy of the filing receipt with the requested change is enclosed. Applicant kindly requests that the filing receipt be amended accordingly.

Respectfully submitted,


Jeffrey T. Klayman
Registration No. 39,250
BROMBERG & SUNSTEIN LLP
125 Summer Street
Boston, MA 02110
(617) 443-9292

NOV 16 2000



UNITED STATES PATENT AND TRADEMARK OFFICE

BROMBERG & SUNSTEINUNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/660,688 | 09/13/2000 | 2664 | 0 | 2204/A48 | 18 | 80 | 8 |

Jeffrey T Klayman
Bromberg & Sunstein LLP
125 Summer Street
Boston, MA 02110**DOCKETED**

FILING RECEIPT



OC000000005539551

Date Mailed: 11/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Bradley Cain, Cambridge, MA ;
Thomas P. Hardjono, Winchester, MA ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/07/2000

Title

System, device, and method for receiver access control in a multicast communication system

Preliminary Class

370

should be "communication"

Data entry by : ZIMMERMAN, SHAUNA

Team : OIPE

Date: 11/08/2000



Section 1

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
2204/A48

In Re Application Of: Cain et al.

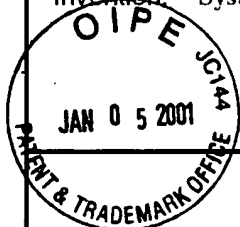
Serial No.
09/660,688

Filing Date
September 13, 2000

Examiner
Not Yet Assigned

Group Art Unit
2664

Invention: System, Device, and Method for Receiver Access Control in a Communication System



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
November 8, 2000
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Request for Corrected Filing Receipt
Request for Recordal of Assignment and \$40 check

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
2204/A48

In Re Application Of: Cain et al.

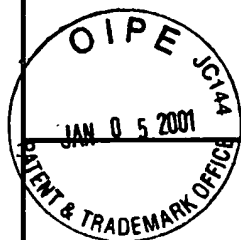
Serial No.
09/660,688

Filing Date
September 13, 2000

Examiner
Not Yet Assigned

Group Art Unit
2664

Invention: System, Device, and Method for Receiver Access Control in a Communication System



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$690.00

☐ Design application filing fee _____

☒ Total number of independent claims = 8 \$390.00

☒ Total number of claims = 80 \$1,080.00

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees \$2,290.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due \$2,290.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
2204/A48

In Re Application Of: Cain et al.

Serial No.
09/660,688

Filing Date
September 13, 2000

Examiner
Not Yet Assigned

Group Art Unit
2664

Invention: System, Device, and Method for Receiver Access Control in a Communication System



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$2,290 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-4972
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 19-4972
A duplicate copy of this sheet is enclosed.


Signature

Dated: January 3, 2001

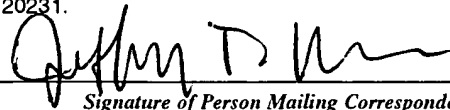
Jeffrey T. Klayman, Reg. No. 39,250
BROMBERG & SUNSTEIN LLP
125 Summer Street
Boston, MA 02110
(617) 443-9292



02101
PATENT & TRADEMARK OFFICE

CC:

I certify that this document and fee is being deposited on 1/3/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Jeffrey T. Klayman

Typed or Printed Name of Person Mailing Correspondence

RECEIVED

NOV 16 2000



UNITED STATES PATENT AND TRADEMARK OFFICE

BROMBERG & SUNSTEIN

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/660,688 | 09/13/2000 | Bradley Cain | 2204/A48 |

 Jeffrey T Klayman
 Bromberg & Sunstein LLP
 125 Summer Street
 Boston, MA 02110


FORMALITIES LETTER



Date Mailed: 11/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1470. 01/09/2001 AWONDAF1 00000037 09660688

| | | |
|--|-----------|------------|
| ■ \$1080 for 60 total claims over 20. | 01 FC:101 | 710.00 OP |
| ■ \$390 for 5 independent claims over 3. | 02 FC:105 | 130.00 OP |
| | 03 FC:103 | 1080.00 OP |
| | 04 FC:102 | 400.00 OP |
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2290.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

 01/09/2001 AWONDAF1 00000037 09660688
 01 FC:101 710.00 OP
 02 FC:105 130.00 OP
 03 FC:103 1080.00 OP
 04 FC:102 400.00 OP